

I hereby certify that this correspondence is being filed via
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on June 25, 2002

TOWNSEND and TOWNSEND and CREW LLP

By: Andrea S. Beck

PATENT
Docket No.: 16222U-008400US
Client Ref. No.: P-12600

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Jonathan Vaux

Application No.: 10/020,466

Filed: October 29, 2001

For: METHOD AND SYSTEM FOR
CONDUCTING A COMMERCIAL
TRANSACTION BETWEEN A BUYER
AND A SELLER

Customer No.: 66945

Confirmation No.: 9289

Examiner: Daniel S. Felten

Art Unit: 3693

REQUEST FOR
PUBLICATION
AND REQUEST
FOR
CONSIDERATION
OF REQUEST FOR
CORRECTION OF
INVENTORSHIP

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Commissioner:

Applicants noticed that this application has not yet published. Attached hereto are copies of a Request to Rescind Previous Nonpublication Request mailed on October 25, 2002, and a stamped postcard indicating receipt of the Request to Rescind Nonpublication Request by the U.S.P.T.O. on October 30, 2002. *Since the Request was filed in timely manner, Applicants request that this application be published.*

Applicants also noticed that they have not received a response to the Request For Correction of Inventorship filed on September 26, 2002. Applicants request that the Request For Correction of Inventorship be reviewed and that the inventors listed in the Request For Correction of Inventorship be added as inventors on this application. Copies of the Request For Correction of Inventorship and accompanying documents are attached.

Jonathan Vaux
Application No.: 10/020,466
Page 2

PATENT

Please contact the undersigned with any questions regarding this
Communication.

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Patrick R. Jewik', enclosed within a large, loopy oval shape.

Patrick R. Jewik
Reg. No. 40,456

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, Eighth Floor
San Francisco, California 94111-3834
Tel: (415) 576-0200
Fax: (415) 576-0300
PRJ:prj
62733938 v1

TO THE U.S. PATENT & TRADEMARK OFFICE

Please stamp the date of receipt of the fr
and return this card to us:

EL 95159252BUS

RE: Jonathan Vaux, et al.

TITLE OF DOCUMENT(S):

Transmittal Form, Fee Transmittal, Request for Correction
of Inventorship, Statements by Added Inventors (3),
Executed Declarations (3), Written Consent by Assignee

Application No. 10/020,466
File No. 16222U-008400
Date Due _____
Date Mailed September 26, 2002 (via Express Mail)
Atty/Secty. HIN/jtc

TO THE U.S. PATENT & TRADEMARK OFFICE

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RE: Jonathan Vaux, et al.

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Transmittal Form, Fee Transmittal, Request for Correction
of Inventorship, Statements by Added Inventors (3),
Executed Declarations (3), Written Consent by Assignee

Application No. 10/020,466
File No. 16222U-008400
Date Due _____
Date Mailed September 26, 2002 (via Express Mail)
Atty/Secty. HIN/jtc





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Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number	10/020,466
Filing Date	October 29, 2001
First Named Inventor	Vaux, Jonathan
Group Art Unit	2161
Examiner Name	
Attorney Docket Number	16222U-008400US

Total Number of Pages in This Submission

11

ENCLOSURES (check all that apply)☒ Fee Transmittal Form☐ Fee Attached☐ Amendment / Reply☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☐ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/
Incomplete Application☐ Response to Missing Parts
under 37 CFR 1.52 or 1.53☐ Assignment Papers
(for an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a
Provisional Application☐ Power of Attorney, Revocation
Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s)☐ After Allowance Communication to
Group☐ Appeal Communication to Board of
Appeals and Interferences☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s)
(please identify below):Request for Correction of Inventorship,
Statements by Added Inventor (3),
Executed Declarations (3), Written
Consent by Assignee, Return Postcard

Remarks

The Commissioner is authorized to charge any additional fees to
Deposit Account 20-1430.**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**Firm
and
Individual name

Townsend and Townsend and Crew LLP

Horace H. Ng

Reg. No. 39,315

Signature

Date

9/26/02

CERTIFICATE OF MAILING

Express Mail Label: EL 951592528 US

I hereby certify that this correspondence is being deposited with the United States Postal Service with "Express Mail Post Office to Address" service under 37 CFR 1.10 on this date September 26, 2002 and is addressed to:

Assistant Commissioner for Patents, Washington, D.C. 20231

Typed or printed name

Julie Taylor Clough

Signature

Julie Taylor Clough

Date

Sept-26, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

SF 1390048 v1

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 130.00

Complete if Known

Application Number 10/020,466
 Filing Date October 28, 2001
 First Named Inventor Vaux, Jonathan
 Examiner Name
 Group Art Unit 2161
 Attorney Docket No. 16222U-008400US

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 20-1430

Deposit Account Name Townsend and Townsend and Crew LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility filing fee	
106	330	208	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	180	214	80	Provisional filing fee	
SUBTOTAL (1)					

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	** Reissue dependent claim, if not paid	
109	84	209	42	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	130
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Sheet	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify)					
*Reduced by Basic Filing Fee Paid				SUBTOTAL (3)	(\$)130

SUBMITTED BY

Name (Print/Type) Horace H. Ng

Registration No. (Attorney/Agent) 39,315

Signature

Complete (if applicable)

Telephone 415-576-0200

Date

9/26/02

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2036.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. SF 1390042 v1

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Date of Deposit Sept. 26, 2002

PATENT
Attorney Docket No.: 016222U-008400US

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Address" service under 37 CFR 1.10 on the date indicated above and is addressed to:

Commissioner for Patents, ^{Box: DAC} P.O. Box 2327
Arlington, VA 22202 Washington, DC 20231

By: Julie Taylor Clough

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Jonathan Vaux et al.

Application No.: 10/020,466

Filed: October 29, 2001

For: METHOD AND SYSTEM FOR
CONDUCTING A COMMERCIAL
TRANSACTION BETWEEN A BUYER
AND A SELLER

Examiner: Unassigned

Art Unit: 2161

REQUEST FOR CORRECTION OF
INVENTORSHIP UNDER 35 U.S.C. 116
AND 37 C.F.R. 1.48 (a)

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants, with the consent of the assignee, hereby petition under 37 C.F.R. §1.48(a) to add Loraine Martin Yeakle, Alistair Duncan and Paulo Fernandes as inventors to the above-identified patent application.

As required by 37 C.F.R. §1.48(a), the following are submitted herewith:

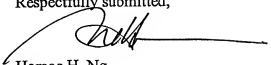
- (1) Statements from each of the inventors to be added stating that it was through error without deceptive intent that s/he was not named as an inventor in the above-identified patent application;
- (2) declarations by the inventors as required by 37 C.F.R. §1.63; and
- (3) written consent of the assignee, Visa U.S.A. Inc., to add the additional inventors.

JONATHAN VAUX et al.
Application No.: 10/020,466
Page 2

PATENT

Please deduct the processing fee of \$130.00, pursuant to 37 C.F.R.
§1.17(i), from Deposit Account No. 20-1430 of the undersigned, and charge any
additional fees or credit overpayment to the above Deposit Account.

Respectfully submitted,



Horace H. Ng
Reg. No. 39,315

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
Tel: (415) 576-0200
Fax: (415) 576-0300
HHN
SF 1390002 v1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Jonathan Vaux et al.

Application No.: 10/020,466

Filed: October 29, 2001

For: METHOD & SYSTEM FOR
CONDUCTING A COMMERCIAL
TRANSACTION BETWEEN A BUYER
& A SELLER

Examiner: Unassigned

Art Unit: 2161

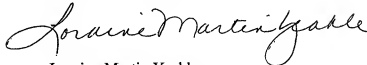
STATEMENT BY ADDED INVENTOR IN
SUPPORT OF REQUEST FOR
CORRECTION OF INVENTORSHIP
UNDER 35 U.S.C. §116 AND 37 C.F.R.
§1.48 (a)

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §1.48(a), in support of the Request for Correction of Inventorship filed in connection with the above-identified patent application, I, Loraine Martin Yeakle, hereby state that it was through error without deceptive intent that I was not named as an inventor in the above-identified patent application.

Respectfully submitted,



Loraine Martin Yeakle

Date: July 24, 2002

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
Tel: (415) 576-0200
Fax: (415) 576-0300
HHN:lcb
SF 1338633 v1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Jonathan Vaux et al.

Application No.: 10/020,466

Filed: October 29, 2001

For: METHOD & SYSTEM FOR
CONDUCTING A COMMERCIAL
TRANSACTION BETWEEN A BUYER
& A SELLER

Examiner: Unassigned

Art Unit: 2161

STATEMENT BY ADDED INVENTOR IN
SUPPORT OF REQUEST FOR
CORRECTION OF INVENTORSHIP
UNDER 35 U.S.C. §116 AND 37 C.F.R.
§1.48 (a)

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §1.48(a), in support of the Request for Correction of Inventorship filed in connection with the above-identified patent application, I, Alistair Duncan, hereby state that it was through error without deceptive intent that I was not named as an inventor in the above-identified patent application.

Respectfully submitted,



Alistair Duncan

Date: 7/23/2002

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
Tel: (415) 576-0200
Fax: (415) 576-0300
HHN:lcb
SF 1366618 v1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Jonathan Vaux et al.

Application No.: 10/020,466

Filed: October 29, 2001

For: METHOD & SYSTEM FOR
CONDUCTING A COMMERCIAL
TRANSACTION BETWEEN A BUYER
& A SELLER

Examiner: Unassigned

Art Unit: 2161

STATEMENT BY ADDED INVENTOR IN
SUPPORT OF REQUEST FOR
CORRECTION OF INVENTORSHIP
UNDER 35 U.S.C. §116 AND 37 C.F.R.
§1.48 (a)

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §1.48(a), in support of the Request for Correction of Inventorship filed in connection with the above-identified patent application, I, Paulo Fernandes, hereby state that it was through error without deceptive intent that I was not named as an inventor in the above-identified patent application.

Respectfully submitted,


Paulo Fernandes

Date: 07/24/02.

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
Tel: (415) 576-0200
Fax: (415) 576-0300
HHN:lcb
SF 1366620 v1

**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION
USING AN APPLICATION DATA SHEET (37 CFR 1.76)**

As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☐ The attached application, or
☒ Application No. 10/020,466, filed on October 29, 2001,
☐ as amended on _____ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application, if applicable; and

All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

FULL NAME OF INVENTOR(S)

Inventor 1 Jonathan Vaux Date: 8/1/02
Signature: [Signature] Citizen of: Great Britain

Inventor 2 Brian Triplett Date: _____
Signature: _____ Citizen of: United States

Inventor 3 Michael Dreyer Date: _____
Signature: _____ Citizen of: United States

Inventor 4 Loraine Martin Yeakle Date: _____
Signature: _____ Citizen of: United States

☒ Additional inventors are being named on form(s) attached hereto.

Burden Hour Statement: This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is used by the public to file (and the PTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION
USING AN APPLICATION DATA SHEET (37 CFR 1.76)**

As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☐ The attached application, or
☒ Application No. 10/020,466, filed on October 29, 2001,
☐ as amended on _____ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application, if applicable; and

All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

FULL NAME OF INVENTOR(S)

Inventor 1 Jonathan Vaux Date: _____

Signature: _____ Citizen of: Great Britain

Inventor 2 Brian Triplett Date: 8/21/02

Signature:  Citizen of: United States

Inventor 3 Michael Dreyer Date: 8/21/02

Signature:  Citizen of: United States

Inventor 4 Loraine Martin Yeakle Date: 8/21/02

Signature:  Citizen of: United States

☒ Additional inventors are being named on form(s) attached hereto.

Burden Hour Statement: This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is used by the public to file (and the PTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION
USING AN APPLICATION DATA SHEET (37 CFR 1.76)**

As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☐ The attached application, or
☒ Application No. 10/020,466, filed on October 29, 2001,
☐ as amended on _____ (if applicable);

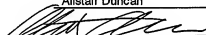
I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;


I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application, if applicable; and

All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

FULL NAME OF INVENTOR(S)

Inventor 5 Alistair Duncan Date: 7/23/2002
Signature:  Citizen of: Great Britain

Inventor 6 Paulo Fernandes Date: 07/24/2002
Signature:  Citizen of: United States

Inventor 7 _____ Date: _____
Signature: _____ Citizen of: _____

Inventor 8 _____ Date: _____
Signature: _____ Citizen of: _____

☒ Additional inventors are being named on form(s) attached hereto.

Burden Hour Statement: This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is used by the public to file (and the PTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.
SF 1338634 v1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Jonathan Vaux et al.

Application No.: 10/020,466

Filed: October 29, 2001

For: METHOD & SYSTEM FOR
CONDUCTING A COMMERCIAL
TRANSACTION BETWEEN A BUYER
& A SELLER

Examiner: Unassigned

Art Unit: 2161

WRITTEN CONSENT BY ASSIGNEE IN
SUPPORT OF REQUEST FOR
CORRECTION OF INVENTORSHIP
UNDER 35 U.S.C. §116 AND 37 C.F.R.
§1.48 (a)

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §1.48(a), in support of the Request for Correction of Inventorship filed in connection with the above-identified patent application, the assignee of the above-identified patent application, Visa U.S.A. Inc., hereby consents to the filing of the Request for Correction of Inventorship to add Loraine Martin Yeakle, Alistair Duncan and Paulo Fernandes, as inventors in the above-identified patent application.

Respectfully submitted,

Visa U.S.A. Inc.

Name: [Signature]

Title: Sr Vice President

Date: 7-18-02

TOWNSEND and TOWNSEND and CREW LLP

Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834

Tel: (415) 576-0200

Fax: (415) 576-0300

SF 1366634 v1

Form PTO-1595

(Rev. 03-01)

OMB No. 0651-0027 (exp. 5/31/2002)

Recordation Form Cover Sheet

PATENTS ONLY

U.S. Department of Commerce

U.S. Patent and Trademark Office

Tab settings >>>▼

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof

1. Name of conveying party(ies):

Lorraine Martin Yeakle, Alistair Duncan and Paulo Fernandes

Additional name(s) of conveying parties attached? Yes ☐ No ☒

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other: _____

Execution Date: July 24, 2002

2. Name and address of receiving party(ies)

Name: Visa International Service Association

Internal Address: _____

Street Address: 900 Metro Center Boulevard

City: Foster City State: CA ZIP: 94404

Additional names and addresses attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s).

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No(s): 10/020,466

B. Patent No(s): _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Horace H. Ng
TOWNSEND AND TOWNSEND AND CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
(415) 578-0200

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41): \$40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number: 20-1430

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.

Horace H. Ng

Name of Person Signing

Atty. Reg. No. 39,315


Signature

7/26/02
Date

Total number of pages including cover sheet, attachments and document 3

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents and Trademarks, Box Assignments

Washington, D.C. 20231

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Loraine Martin Yeakle of 137 Alta Vista Way, Danville, CA 94506; Alistair Duncan of 811 Schooner Bay Drive, Redwood City, CA 94065; and Paulo Fernandes of 28153 Riggs Court; Hayward, CA 94542; hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: METHOD AND SYSTEM FOR CONDUCTING A
 COMMERCIAL TRANSACTION BETWEEN A BUYER
 AND A SELLER

Date(s) of execution of Declaration:

Filing Date: October 29, 2001

Application No.: 10/020,466; and

WHEREAS, Visa International Service Association, a Delaware corporation, located at 900 Metro Center Boulevard, Foster City, CA 94404, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on the same in the United States and all countries throughout the world, and to claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including patent applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

Assignors hereby authorize and request Townsend and Townsend and Crew LLP, Two Embarcadero Center, 8th Floor, San Francisco, CA 94111-3834, to insert herein above the date of declaration, the application number and filing date of said application when known.

IN TESTIMONY WHEREOF, Assignors have signed their names on the dates indicated.

Dated: July 24, 2002

Loraine Martin Yeakle
Loraine Martin Yeakle

Dated: 7/24/02

Alistair Duncan
Alistair Duncan

Dated: 07/24/02

Paulo Fernandes
Paulo Fernandes

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GROUP 3600

9661

35109-100
OCT 30 2002
PATENT & TRADEMARK OFFICE

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U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

**REQUEST TO RESCIND PREVIOUS
NONPUBLICATION REQUEST
35 U.S.C. 122(b)(2)(B)(ii)**

Application Number	10/020,466
Filing Date	October 29, 2001
First Named Inventor	Jonathan Vaux, et al.
Title	METHOD AND SYSTEM FOR CONDUCTING A COMMERCIAL TRANSACTION BETWEEN A BUYER AND A SELLER
Atty Docket Number	16222U-008400US
Group Art Unit	2161
Examiner	Unassigned

I hereby rescind the previous request that the above-identified application not be published under 35 U.S.C. 122(b).

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OCT 31 2002

Technology Center 2100

10/25/02

Date



Signature

Horace H. Ng, Reg. No. 39,315

Typed or printed name

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DEC 05 2002

GROUP 360

This request must be signed in compliance with 37 CFR 1.33(b).

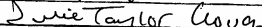
Note: Filing this rescission of a previous nonpublication request is considered the notice of a subsequent foreign or international filing required by 35 USC 122(b)(2)(B)(iii) and 37 CFR 1.213(c) if this rescission is filed no later than forty-five days (45) days after the date of filing of such foreign or international application. See 37 CFR 1.137(f) if a notice of subsequent foreign or international filing required by 35 USC 122(b)(2)(B)(iii) and 37 CFR 1.213(c) is not filed within forty-five days (45) days after the date of filing of the foreign or international application.

CERTIFICATE OF MAILING OR TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner For Patents, Box PG Pub, Washington, DC 20231, or facsimile transmitted to the U.S. Patent and Trademark Office on:

Name (Print/Type) Julie Taylor Clough

Signature



Date

10-25-02

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(b). The information is used by the public to rescind a previously filed request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that rescission). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.
SF 1400152 v1



09-27-02

\$2161

Please type a plus sign (+) inside this box → ☒

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number	10/020,466
Filing Date	October 29, 2001
First Named Inventor	Vaux, Jonathan
Group Art Unit	2161
Examiner Name	
Attorney Docket Number	16222U-008400US

Total Number of Pages in This Submission

11

ENCLOSURES (check all that apply)☒ Fee Transmittal Form☐ Fee Attached☐ Amendment / Reply☐ After Final☐ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☐ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/
Incomplete Application☐ Response to Missing Parts
under 37 CFR 1.52 or 1.53☐ Assignment Papers
(for an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition☐ Petition to Convert to a
Provisional Application☐ Power of Attorney, Revocation
Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s)☐ After Allowance Communication to
Group☐ Appeal Communication to Board of
Appeals and Interferences☐ Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)☐ Proprietary information☐ Status Letter☒ Other Enclosure(s)
(please identify below):Request for Correction of Inventionship,
Statements by Added Inventor (3),
Executed Declarations (3), Written
Consent by Assignee, Return Postcard

Remarks

The Commissioner is authorized to charge any additional fees to
Deposit Account 20-1430.

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OCT 03 2002

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name	Townsend and Townsend and Crew LLP Horace H. Ng	Reg. No. 39,315
Signature		
Date	9/26/02	

GROUP 3600

CERTIFICATE OF MAILING

Express Mail Label: EL 951592528 US

I hereby certify that this correspondence is being deposited with the United States Postal Service with "Express Mail Post Office to Address" service under 37 CFR 1.10 on this date September 26, 2002 and is addressed to:

Assistant Commissioner for Patents, Washington, D.C. 20231

Typed or printed name	Julie Taylor Clough	Date	Sept-26, 2002
Signature			

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

SF 1390048 v1



FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 130.00

Complete If Known

Application Number 10/020,468
Filing Date October 29, 2001
First Named Inventor Vaux, Jonethan
Examiner Name
Group Art Unit 2181
Attorney Docket No. 18222U-008400US

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OCT 03 2002

GROUP 3600

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number 20-1430

Deposit Account Name Townsend and Townsend and Crew LLP

The Commissioner is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments
☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$) 130

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fees from below	Fee Paid
Independent Claims	** =		
Multiple Dependent	** =		

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 0

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large	Entity	Small	Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105	130	205	65			Surcharge - late filing fee or oath	
127	50	227	25			Surcharge - late provisional filing fee or cover sheet	
139	130	139	130			Non-English specification	
147	2,520	147	2,520			For filing a request for reexamination	
112	920*	112	920*			Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*			Requesting publication of SIR after Examiner action	
115	110	215	55			Extension for reply within first month	
116	400	216	200			Extension for reply within second month	
117	920	217	460			Extension for reply within third month	
118	1,440	218	720			Extension for reply within fourth month	
128	1,960	228	980			Extension for reply within fifth month	
119	320	219	160			Notice of Appeal	
120	320	220	160			Filing a brief in support of an appeal	
121	280	221	140			Request for oral hearing	
138	1,510	138	1,510			Petition to institute a public use proceeding	
140	110	240	55			Petition to revive - unavoidable	
141	1,280	241	640			Petition to revive - unintentional	
142	1,280	242	640			Utility issue fee (or reissue)	
143	460	243	230			Design issue fee	
144	620	244	310			Plant issue fee	
122	130	122	130			Petitions to the Commissioner	130
123	50	123	50			Petitions related to provisional applications	
126	180	126	180			Submission of Information Disclosure Sheet	
581	40	581	40			Recording each patent assignment per property (times number of properties)	
146	740	246	370			Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370			For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370			Request for Continued Examination (RCE)	
169	900	169	900			Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 130

SUBMITTED BY

Name (Print/Type) Horace H. Ng

Registration No. (Attorney/Agent)

38,315

Signature

Complete (if applicable)

Telephone 415-576-0200

Date

9/26/02

WARNING: information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. SF 1390042 v1



#3 cw
10-22-02

Express Mail® Label No. EL 951592508 US
Date of Deposit Sept. 26, 2002

PATENT
Attorney Docket No.: 016222U-008400US

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Address" service under 37 CFR 1.10 on the date indicated above and is addressed to:

Commissioner for Patents, PO Box 2227
Washington, DC 20231

By: John Taylor Clough

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OCT 03 2002
GROUP 3600

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Jonathan Vaux et al.

Application No.: 10/020,466

Filed: October 29, 2001

For: METHOD AND SYSTEM FOR
CONDUCTING A COMMERCIAL
TRANSACTION BETWEEN A BUYER
AND A SELLER

Examiner: Unassigned

Art Unit: 2161

REQUEST FOR CORRECTION OF
INVENTORSHIP UNDER 35 U.S.C. 116
AND 37 C.F.R. 1.48 (a)

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicants, with the consent of the assignee, hereby petition under 37 C.F.R. §1.48(a) to add Loraine Martin Yeakle, Alistair Duncan and Paulo Fernandes as inventors to the above-identified patent application.

As required by 37 C.F.R. §1.48(a), the following are submitted herewith:

(1) Statements from each of the inventors to be added stating that it was through error without deceptive intent that s/he was not named as an inventor in the above-identified patent application;

(2) declarations by the inventors as required by 37 C.F.R. §1.63; and

(3) written consent of the assignee, Visa U.S.A. Inc., to add the additional inventors.

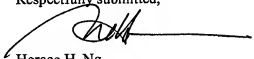
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01 FC:122 130.00 CH

JONATHAN VAUX et al.
Application No.: 10/020,466
Page 2

PATENT

Please deduct the processing fee of \$130.00, pursuant to 37 C.F.R.
§1.17(i), from Deposit Account No. 20-1430 of the undersigned, and charge any
additional fees or credit overpayment to the above Deposit Account.

Respectfully submitted,



Horace H. Ng
Reg. No. 39,315

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
Tel: (415) 576-0200
Fax: (415) 576-0300
HHN
SF 1390002 v1



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Jonathan Vaux et al.

Application No.: 10/020,466

Filed: October 29, 2001

For: METHOD & SYSTEM FOR
CONDUCTING A COMMERCIAL
TRANSACTION BETWEEN A BUYER
& A SELLER

Examiner: Unassigned

Art Unit: 2161

STATEMENT BY ADDED INVENTOR IN
SUPPORT OF REQUEST FOR
CORRECTION OF INVENTORSHIP
UNDER 35 U.S.C. §116 AND 37 C.F.R.
§1.48 (a)

Assistant Commissioner for Patents
Washington, D.C. 20231

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OCT 03 2002

GROUP 3600

Sir:

Pursuant to 37 C.F.R. §1.48(a), in support of the Request for Correction of Inventorship filed in connection with the above-identified patent application, I, Loraine Martin Yeakle, hereby state that it was through error without deceptive intent that I was not named as an inventor in the above-identified patent application.

Respectfully submitted,

Loraine Martin Yeakle

Date: July 24, 2002

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
Tel: (415) 576-0200
Fax: (415) 576-0300
HHN:lcb
SF 1338633 v1



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Jonathan Vaux et al.

Application No.: 10/020,466

Filed: October 29, 2001

For: METHOD & SYSTEM FOR
CONDUCTING A COMMERCIAL
TRANSACTION BETWEEN A BUYER
& A SELLER

Examiner: Unassigned

Art Unit: 2161

STATEMENT BY ADDED INVENTOR IN
SUPPORT OF REQUEST FOR
CORRECTION OF INVENTORSHIP
UNDER 35 U.S.C. §116 AND 37 C.F.R.
§1.48 (a)

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

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GROUP 3600

Pursuant to 37 C.F.R. §1.48(a), in support of the Request for Correction of Inventorship filed in connection with the above-identified patent application, I, Alistair Duncan, hereby state that it was through error without deceptive intent that I was not named as an inventor in the above-identified patent application.

Respectfully submitted,

Alistair Duncan

Date: 7/23/2002

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
Tel: (415) 576-0200
Fax: (415) 576-0300
HHN:lcb
SF 1388618 v1



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Jonathan Vaux et al.

Application No.: 10/020,466

Filed: October 29, 2001

For: METHOD & SYSTEM FOR
CONDUCTING A COMMERCIAL
TRANSACTION BETWEEN A BUYER
& A SELLER

Examiner: Unassigned

Art Unit: 2161

STATEMENT BY ADDED INVENTOR IN
SUPPORT OF REQUEST FOR
CORRECTION OF INVENTORSHIP
UNDER 35 U.S.C. §116 AND 37 C.F.R.
§1.48 (a)

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

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OCT 03 2002

GROUP 3600

Pursuant to 37 C.F.R. §1.48(a), in support of the Request for Correction of Inventorship filed in connection with the above-identified patent application, I, Paulo Fernandes, hereby state that it was through error without deceptive intent that I was not named as an inventor in the above-identified patent application.

Respectfully submitted,


Paulo Fernandes

Date: 07/24/02

TOWNSEND and TOWNSEND and CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
Tel: (415) 576-0200
Fax: (415) 576-0300
HHN:lcb
SF 1386620 v1



Agency Docket No.: 16222U-008400US Page 1 of 2
Patent Ref. No.:

PTO/SB/01A (10-00)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION
USING AN APPLICATION DATA SHEET (37 CFR 1.76)**

As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☐ The attached application, or
☒ Application No. 10/020,466, filed on October 29, 2001,
☐ as amended on _____ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application, if applicable; and

All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

FULL NAME OF INVENTOR(S)

Inventor 1 Jonathan Vaux Date: 8/1/02

Signature: [Signature] Citizen of: Great Britain

Inventor 2 Brian Triplett Date: _____

Signature: _____ Citizen of: United States

Inventor 3 Michael Dreyer Date: _____

Signature: _____ Citizen of: United States

Inventor 4 Loraine Martin Yeakle Date: _____

Signature: _____ Citizen of: United States

☒ Additional inventors are being named on form(s) attached hereto.

Burden Hour Statement: This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is used by the public to file (and the PTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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OCT 03 2002
GROUP 3600



Attorney Docket No.: 16222U-008400US Page 1 of 2
Client Ref. No.:

PTO/SB/01A (10-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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\$4 w
over

**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION
USING AN APPLICATION DATA SHEET (37 CFR 1.76)**

As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☐ The attached application, or
☒ Application No. 10/020,466, filed on October 29, 2001,
☐ as amended on _____ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application, if applicable; and

All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

FULL NAME OF INVENTOR(S)

Inventor 1 Jonathan Vaux Date: _____

Signature: _____ Citizen of: Great Britain

Inventor 2 Brian Triplett Date: 8/21/02

Signature:  Citizen of: United States

Inventor 3 Michael Dreyer Date: 8/21/02

Signature:  Citizen of: United States

Inventor 4 Loraine Martin Yeakle Date: 8/21/02

Signature:  Citizen of: United States

☒ Additional inventors are being named on form(s) attached hereto.

Burden Hour Statement: This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is used by the public to file (and the PTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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OCT 03 2002

GROUP 3600



**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION
USING AN APPLICATION DATA SHEET (37 CFR 1.76)**

As the below named inventor(s), I/we declare that:

This declaration is directed to:

- ☐ The attached application, or
☒ Application No. 10/020,466, filed on October 29, 2001,
☐ as amended on _____ (if applicable);

I/we believe that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought;

I/we have reviewed and understand the contents of the above-identified application, including the claims, as amended by any amendment specifically referred to above;

I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including material information which became available between the filing date of the prior application and the National or PCT International filing date of the continuation-in-part application, if applicable; and

All statements made herein of my/our own knowledge are true, all statements made herein on information and belief are believed to be true, and further that these statements were made with the knowledge that willful false statements and the like are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any patent issuing thereon.

FULL NAME OF INVENTOR(S)

Inventor 5 Alistair Duncan Date: 7/23/2002

Signature: [Signature] Citizen of: Great Britain

Inventor 6 Paulo Fernandes Date: 07/24/2002

Signature: [Signature] Citizen of: United States

Inventor 7 _____ Date: _____

Signature: _____ Citizen of: _____

Inventor 8 _____ Date: _____

Signature: _____ Citizen of: _____

☒ Additional inventors are being named on form(s) attached hereto.

Burden Hour Statement: This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is used by the public to file (and the PTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 1 minute to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.
SF 1338634 v1

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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Jonathan Vaux et al.

Application No.: 10/020,466

Filed: October 29, 2001

For: METHOD & SYSTEM FOR
CONDUCTING A COMMERCIAL
TRANSACTION BETWEEN A BUYER
& A SELLER

Examiner: Unassigned

Art Unit: 2161

WRITTEN CONSENT BY ASSIGNEE IN
SUPPORT OF REQUEST FOR
CORRECTION OF INVENTORSHIP
UNDER 35 U.S.C. §116 AND 37 C.F.R.
§1.48 (a)

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §1.48(a), in support of the Request for Correction of Inventorship filed in connection with the above-identified patent application, the assignee of the above-identified patent application, Visa U.S.A. Inc., hereby consents to the filing of the Request for Correction of Inventorship to add Loraine Martin Yeakle, Alistair Duncan and Paulo Fernandes, as inventors in the above-identified patent application.

Respectfully submitted,

Visa U.S.A. Inc.

Name: *[Signature]*

Title: *Sr Vice President*

Date: *9-18-02*

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